

Section 1 About me

Part 1 Personal details

Title:

Mr

Mrs

Miss

Ms

Dr

Prof

Lord

First name:

.....

Last name:

.....

Date of birth: DD/MM/YYYY

.....

Country of residence:

.....

Are you a US citizen and/or a tax resident anywhere other than United Kingdom?

Yes

No

Part 2 Account type

What account would you like to apply for?

CFD

Spread Bet

Both

Part 3 Contact details

Primary contact number

.....

Alternative contact number

.....

Email

.....

Part 4 Identity details

Identification type

Driving License

Passport

MRZ

Identification reference number

Please attach a copy of your identification to this application when you return to us

.....

Section 1 About me

Part 5 Home address

Building/House number

Street/Road

Town/City

Postcode

Country

Time at current address

Less than 6 months

More than 6 months

Please provide details of your previous address at the end of this document if you have been living at your current address for less than 6 months.

Section 2 Trading experience

Part 1 My trading experience

Have you ever worked for a financially regulated company?

Yes

No

If yes, please provide details

Have you been in a professional position for at least one year which requires knowledge of derivatives?

Yes

No

If yes, please provide details and in what capacity?

Have you been an approved person?

Yes

No

Do you have a good knowledge of derivative products?

Yes

No

If so, please provide details and where the knowledge was gained, including any qualifications?

How do you make your investment decisions?

Yourself

Professional adviser

Combination

Have you traded in the following products in the last two years?

CFD Spread Bet FX Options

In relation to the products, please provide any further information regarding the types of transactions you have undertaken.

.....

Average number of trades in the last quarter

CFD Spread Bet FX Options

Average trade size

CFD Spread Bet FX Options

What is the size of your financial instrument portfolio, defined as cash deposits and financial instruments?

.....

Part 2 Employment details

Your employment status

Employed Self Employed Retired
 Unemployed Student Other

Name of employer

.....

Is your employer FSA regulated?

Yes No

Compliance Officer email

.....

Part 3 Financial details

Approximate annual income (£)

<50k 50-100k >100k

Approximate value of assets, savings and investments (£)

<500k 500-1m >1m

Source of your funds

Savings Salary Saving & Salaries Other

Your trading currency/ies

GBP USD EUR JPY CHF

User name:

.....

*Please do not begin your password with a symbol or number.
 Use more than 3 characters. & @ / | \ cannot be used.*

Section 3 Declaration

We will rely upon our Terms and Conditions along with the content of the Risk Warning and Order Execution Policy. For your own benefit and protection you should read each of these carefully before signing on each of the lines below. If you do not understand any point please ask for further information.

I have read, understand and agree to the Terms & Conditions

Signed

Dated

I have read, understand and agree to the Risk Warning

Signed

Dated

I have read and understand the Order Execution Policy

Signed

Dated

Where did you hear about us?

Part 4 Disclaimer

Spread betting and CFD trading both carry a high level of risk to your capital with the possibility of losing more than your initial investment. These products may not be suitable for all investors, and are only intended for people over 18. Please ensure that you are fully aware of the risks involved and, if necessary, seek independent financial advice.

Details of your previous address if you have been living at your current address for less than 6 months.

Building/House number

Street/Road

Town/City

Postcode

Country