

## Section 1 About me

### Part 1 Personal details

Title:

Mr

Mrs

Miss

Ms

Dr

Prof

Lord

First name:

Last name:

Date of birth: DD/MM/YYYY

Country of residence:

Are you a US citizen and/or a tax resident anywhere other than United Kingdom?

Yes

No

### Part 2 Account type

What account would you like to apply for?

CFD

Spread Bet

Both

### Part 3 Contact details

Primary contact number

Alternative contact number

Email

### Part 4 Identity details

Identification type

Driving License

Passport

MRZ

Identification reference number

*Please attach a copy of your identification to this application when you return to us*

## Section 1 About me

### Part 5 Home address

Building/House number

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Street/Road

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Town/City

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Postcode

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Country

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Time at current address

Less than 6 months

More than 6 months

Please provide details of your previous address at the end of this document if you have been living at your current address for less than 6 months.

## Section 2 Trading experience

### Part 1 My trading experience

Have you ever worked for a financially regulated company?

Yes

No

If yes, please provide details

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Have you been in a professional position for at least one year which requires knowledge of derivatives?

Yes

No

If yes, please provide details and in what capacity?

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Have you been an approved person?

Yes

No

Do you have a good knowledge of derivative products?

Yes

No

If so, please provide details and where the knowledge was gained, including any qualifications?

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How do you make your investment decisions?

Yourself

Professional adviser

Combination

Have you traded in the following products in the last two years?

CFD  Spread Bet  FX  Options

In relation to the products, please provide any further information regarding the types of transactions you have undertaken.

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Average number of trades in the last quarter

CFD  Spread Bet  FX  Options

Average trade size

CFD  Spread Bet  FX  Options

What is the size of your financial instrument portfolio, defined as cash deposits and financial instruments?

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## Part 2 Employment details

Your employment status

Employed  Self Employed  Retired   
 Unemployed  Student  Other

Name of employer

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Is your employer FSA regulated?

Yes  No

Compliance Officer email

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## Part 3 Financial details

Approximate annual income (£)

<50k  50-100k  >100k

Approximate value of assets, savings and investments (£)

<500k  500-1m  >1m

Source of your funds

Savings  Salary  Saving & Salaries  Other

Your trading currency/ies

GBP  USD  EUR  JPY  CHF

User name:

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*Please do not begin your password with a symbol or number.  
 Use more than 3 characters. & @ / | \ cannot be used.*

## Section 3 Declaration

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We will rely upon our Terms and Conditions along with the content of the Risk Warning and Order Execution Policy. For your own benefit and protection you should read each of these carefully before signing on each of the lines below. If you do not understand any point please ask for further information.

I have read, understand and agree to the Terms & Conditions

*Signed* .....

*Dated* .....

I have read, understand and agree to the Risk Warning

*Signed* .....

*Dated* .....

I have read and understand the Order Execution Policy

*Signed* .....

*Dated* .....

Where did you hear about us? .....

## Part 4 Disclaimer

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Spread betting and CFD trading both carry a high level of risk to your capital with the possibility of losing more than your initial investment. These products may not be suitable for all investors, and are only intended for people over 18. Please ensure that you are fully aware of the risks involved and, if necessary, seek independent financial advice.

*Details of your previous address if you have been living at your current address for less than 6 months.*

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Building/House number .....

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Town/City .....

Postcode .....

Country .....